VP, Capital Markets VP, Capital Markets Operational Risk, Controls & Governance

Requisition	R0000192693
Employer	RBC Dominion Securities Inc.
Canadian locations	Toronto (Ontario, Canada)
Primary address	600 QUEENS PLATE DR, TORONTO, ON M9W 0A4, Canada
Posted	November 16, 2026
Last day to apply	November 29, 2026
Posted deadline	November 30, 2026
Business area	CAPITAL MARKETS
Employment status	Regular
Work schedule	Full time · 37.5 h per week
Pay type	Salaried

The position

As a member of the Capital Markets (CM) Operational Risk Controls & Governance (ORC&G) group, the incumbent will contribute to the maintenance of an effective operational risk management program within CM, focusing on Issues Management (Self Identified, 2LoD, External Regulatory, Internal Audit, ICFR). The incumbent is responsible for identifying, documenting, tracking, and escalating risk issues across the Capital Markets organization. This position ensures that risks are managed in accordance with RBC's risk governance framework and regulatory requirements.

Responsibilities

Central Point for Issue Lifecycle Management

- To serve as the primary point of contact for all non-financial risk issues for capital market companies.Property and manage the full life cycle of the issue, from initial identification to resolution and closure.
- Establish and maintain processes to document all identified problems with clear descriptions, root causes and potential impacts on businesses.
- Document lessons learned from the issues resolved to inform prevention strategies.

Tracking and Monitoring

- Maintain comprehensive records and dashboards, monitor the status of all identified issues/risks from the beginning to resolution, including monitoring remediation timelines, validating the effectiveness of corrective actions, and providing regular updates to stakeholders.
- Identify trends and trends in operational risk issues to support strategic risk mitigation.

Escalation and Reporting

- Identify critical or late issues through appropriate governance channels and prepare summary reports to senior management, risk committees and regulators when needed.
- Ensure transparency in the state of risk and trend analysis.
- Maintain audit leads and documentation for all remediation issues and activities.

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Governance and Compliance

- Work within RBC's business risk governance framework, ensuring alignment with regulatory requirements and internal policies.
- Coordinate with compliance, audit and business units to ensure consistent application of risk management standards.
- Maintain compliance with internal policies and external regulatory reporting requirements.
- Support regulatory reviews and investigations of operational risk issues.

Stakeholder Coordination

- Work with operational units, control functions and senior management to address root causes, develop remediation plans and implement preventive measures.
- Facilitate communication between technical teams and risk management to ensure that problems are effectively resolved.

Data Management and Analytics

- Maintain data integrity and accuracy in problem management systems.
- Develop key risk measures and indicators related to operational risk issues.
- Conduct root cause analysis to identify systemic weaknesses and risks and support data analysis initiatives to improve predictive risk identification.
- Generate ad hoc reports and analyses when needed by management or audit functions.

Qualifications

- At least 5 years of related experience with in-depth knowledge of capital market activities and/or non-financial risk management.
- Prior work experience or knowledge of Enterprise Issues Management (EIM) tool.
- Strong analytical competence and experience using business analysis and database search tools.
- Presentation, communication (verbal and written) and interpersonal skills
- FO, audit and/or risk management functions and/or experience is an asset
- Ability to work independently and without immediate supervision
- Commitment to quality and accuracy with a high level of attention to detail.
- Strong problem solving, analysis, research and quantitative capacity.
- Team player and ability to perform in time constraints.

Pay and benefits

RBC teams aim to grow by working together, thinking ahead and giving dependable advice that helps clients and communities prosper. They support one another, develop their potential and strive for outcomes that benefit the communities they serve as well as the bank.

- A total compensation program with competitive pay, bonuses and flexible benefits, plus commissions and stock where applicable.
- Join a collaborative team that works at a high standard and looks for new ways to improve.
- Opportunities to do challenging work
- Opportunities for close relationships with clients
- Access to a variety of job opportunities across business and geographies

Job Skills

Business process design, decision-making, Financial Risk Management (FRM), Operational Risks, Risk Control, Risk Management, Teamwork

RBC accepts applications until 11:59 p.m. on the day before the posted deadline.

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Compensation for this position (including salary and discretionary/variable payments) will be determined by factors, including but not limited to candidate's experience, skills, registration status, performance, individual goals, market conditions, and business needs.

Pay Range

The expected pay range for this position is

$110,000.00 - $140,000.00

The pay scale provided is that of the principal workplace mentioned in the Additional Employment Details section of this assignment. Pay scales may vary depending on location. If the assignment lists several locations, a recruiter can confirm the availability of the relevant role and pay scale for the additional locations listed. RBC supports pay transparency and we strive to ensure that all pay scales are competitive, fair and equitable.

You have the potential to win RBC's discretionary variable pay program, which gives you the opportunity to increase your total pay, provided that businesses meet their performance targets and achieve your individual goals.

Our Employment Opportunities

RBC works as One RBC and follows five shared values: putting clients first, integrity, collaboration, respect and excellence. As one of the world’s largest and most successful banks, it sees inclusion and different perspectives as important to continued growth. RBC supports staff in doing their best work, working together, innovating and developing professionally. Its policies and programs foster respect, belonging and opportunities for everyone to create value for clients and communities.

Talent community

People who join RBC’s talent community can receive new postings, career advice and recruitment event updates tailored to their interests.

RBC’s careers portal shares information about career paths and how its teams contribute to client and community well-being.

RBC is accepting applications for an existing vacancy. Qualified applicants may hear from the recruitment team for a more detailed review of their résumé.

Search for requisition R0000192693 on the RBC careers portal.

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